Please type a plus sign (+) inside this box -> +	Please t	vpe a	plus sign	(+) inside	this box	\rightarrow	+
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DECLARATION FOR UTILITY OR

FAILES, Michael

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Attorney Docket Number P1004

DESIG	N	First Named In	ventor F	AILES, MICHAEI			
PATENT APPL	C	COMPLETE IF KNOWN					
(37 CFR 1		Application Nu	mber				
	1	Filing Date					
Declaration Submitted OR	Declaration Submitted after Initial Filing (surcharge	al Group Art Unit					
with Initial Filing	(37 CFR 1.16 (e)) required)	Examiner Nam	е				
As a below named inventor, I hereby declare that:							
My residence, mailing address, ar	nd citizenship are as stat	ted below next to my na	me.				
I believe I am the original, first and names are listed below) of the sul	d sole inventor (if only or oject matter which is clai	ne name is listed below) med and for which a pa	or an original, fi tent is sought or	rst and joint inventor (if plural the invention entitled:			
FIBER OPTIC SCANNING INTERFEROMETER USING A POLARIZATION SPLITTING COUPLER							
#hi5ti	σ	itle of the Invention)	1				
the specification of which is attached hereto							
OR		as United S	tates Application	Number or PCT International			
□ was filed on (MM/DD/YYYY)	was filed on (MM/DD/YYYY)						
Application Number and was amended on (MM/DD/YYYY) (if applicable).							
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.							
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.							
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.							
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO			
2,448,346	Canada	11/06/2003	0000	X			
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:							
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.							
Application Number(s)	Filing Date	e (MM/DD/YYYY).	numbe supplei	nal provisional application rs are listed on a mental priority data sheet B/02B attached hereto.			

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+ PTO/SB/01 (10-00)

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DECLARATION — Utility or Design Patent Application

	Customer Nu or Bar Code L		22839		OR X	Correspondence address below
Name RICHES, McKENZIE & HERBERT LLP						
Address 2 Bloor Street East, Su	Address 2 Bloor Street East, Suite 1800					
Address				,		
_{City} Toronto				State	Ontario	ZIP M4W 3J5
Country CANADA		Telephon	_e (416) 9	61-500	00	Fax (416) 961-5081
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INV	ENTOR:			A petit	ion has been fil	ed for this unsigned inventor
Given Name Michael (first and middle [if any])				Family or Surr		.
Inventor's Signature Date Date						
Residence: City Burlington State Ontario Country Canada Citizenship Canadian						
Mailing Address 1155 Appleby Line, Unit E8						
Mailing Address						
City Burlington	State Onta	ario		ZIP L	_7L 5H9	Country Canada
NAME OF SECOND INVENTOR	:			A petit	tion has been fil	ed for this unsigned inventor
Given Name (first and middle [if any])				Family or Surr		
Inventor's Signature Date						
Residence: City		_	State		Country	Citizenship
Mailing Address						
Mailing Address						
City	State			ZIP		Country
Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.						

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	FAILES, Michael
Title	Fiber Optic Scanning Interferometer Using A Polarization Splitting Coupler
Group Art Unit	
Examiner Name	
Attorney Docket Number	P1004

l horoby oppo	int					
OR	ners at Customer Number	022839	N	lace Customer lumber Bar Code abel here		
Practition	er(s) named below:					
ļ	Name		Registration Number			
<u>Paul</u>	Herbert	1	27.278	 		
as my/our attorn	ney(s) or agent(s) to prosecu	ite the application ide	entified above, and	to transact all		
	United States Patent and Tr					
Please change t	he correspondence address	for the above-identit	fied application to:			
☐ The above-	mentioned Customer Numb	er.				
<u>OR</u>				Customer		
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OR						
Firm or Individual Name RICHES, McKENZIE & HERBERT LLP						
Address	2 Bloor Street East					
Address	Suite 1800	Suite 1800				
City	Toronto	Toronto State Ontario Zip M4W 3J5				
Country	Canada					
Telephone						
l am the:						
X Applicant/Inventor.						
Assignee of record of the entire interest. See 37 CFR 3.71.						
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).						
SIGNATURE of Applicant or Assignee of Record						
Name	FAILES, Michael	·				
Signature	MAan)				
Date	5AN. 28	2004				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple						
forms if more than one signature is required, see below*. Total of 01 forms are submitted.						
▼ *Total of _01forms are submitted.						

Applicant or Patentee: FAILES, M	ichael				
Serial or Patent No.:		Atty. Dkt. No.: <u>P1004</u>			
For:FIBER OPTIC SCANNING INTERFEROMETER USING A POLARIZATION SPLITTING COUPLER					
-					
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR					
the purposes of paying reduced fe	es under section 41(a) and(b) of	ependent inventor as defined in 37 CFR 1.9(c) for fittle 35, United States Code, to the Patent and F POWDER COATING " described in			
(X) the specification filed					
		filed			
() patent no.	<u></u>	issued			
I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).					
Each person, concerned or organization to vassign, grant, convey, or license any rights		, or licensed or am under an obligation under contract or law to			
(X) no such person , concern or organization () persons, concerns or organizations listed below*					
*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)					
FULL NAME	,				
ADDRESS					
() INDIVIDUAL () SMALL BUSINESS CONCER	RN () NON-PROFIT ORGANIZATION			
FULL NAME					
ADDRESS —					
() INDIVIDUAL	() SMALL BUSINESS CO	NCERN () NON-PROFIT ORGANIZATION			
		in status resulting in loss of entitlement to small entity status be fee due after the date on which status as a small entity is no			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.					
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR			
FAILES, Michael	/Wan				
Signature of Inventor	Signature of Inventor	Signature of Inventor			
Date 2 2004	Date	Date			

1/20/04